

Page 1

1 UNITED STATES DISTRICT COURT

2 WESTERN DISTRICT OF OKLAHOMA

3 Case No. CIV-14-665-F

4 -----

5 RICHARD GLOSSIP, et al.,

6 Plaintiffs,

7 vs.

8 RANDY CHANDLER, et al.,

9 Defendants.

10 -----

11

12 REMOTE VIDEOTAPED DEPOSITION OF

13 DR. JOSEPH ANTOGNINI

14 January 28, 2021

15 10:03 a.m. EST

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23 Reported by:

24 Debra Stevens, RPR-CRR

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January 28, 2021

10:03 a.m. EST

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Page 3

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25 (Continued)

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17

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2 E X A M I N A T I O N S

3

WITNESS

PAGE

4

DR. JOSEPH ANTOGNINI

5

By Mr. Stronski

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E X H I B I T S

8

EXHIBIT DESCRIPTION PAGE

9

Exhibit 800 Report of Dr. Joseph F. Antognini 70
 Exhibit 940 Depth of Sedation Chart 111

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Exhibit 801 Curriculum Vitae of Dr. Joseph F. Antognini 139
 Exhibit 831 Gehrke article 157
 Exhibit 853 Miyake reference 172
 Exhibit 928 Benzodiazapine overdoses article 200
 Exhibit 941 Warner autopsy data; Exhibit 30 to Edgar report 231
 Exhibit 927 Schultz reference 272
 Exhibit 833 Glass reference 287
 Exhibit 877 White reference 297

1

2 THE VIDEOGRAPHER: Good morning.

3 We are going on the record at
4 10:03 a.m. on January 28, 2021.
5 Please note that audio and video
6 recording will continue to take place
7 unless all parties agree to go off the
8 record. This is media unit 1 of the
9 video-recorded remote virtual
10 deposition of Dr. Joseph F. Antognini
11 in the matter of Richard Glossip, et
12 al., versus Randy Chandler, et al.,
13 filed in the United States District
14 Court, Western District of Oklahoma,
15 Civil Action No. CIV-14-665-F.

16 My name is Lee Bowry from the
17 firm of Winter Reporting, a Veritext
18 company, and I am the videographer.
19 The court reporter is Debra Stevens,
20 also with Winter Reporting. I am not
21 authorized to administer an oath. I
22 am not related to any party in this
23 action, nor am I financially
24 interested in the outcome.

25 Counsel attending remotely will

1

2 now state their appearances and
3 affiliations for the record. If there
4 are any objections to proceeding
5 please state them at the time of your
6 appearance, beginning with the
7 noticing attorney.

8 MR. STRONSKI: This is Jim
9 Stronski. I am from the law firm
10 Crowell & Moring and we represent
11 Plaintiffs in this case. With me is
12 Pilar Stillwater, also with the firm
13 Crowell & Moring; and other co-counsel
14 will introduce themselves.

15 MR. LIEBERMAN: Good morning.
16 This is Michael Lieberman from the
17 Federal Public Defender Capital Habeas
18 Unit in the Western District of
19 Oklahoma. Also appearing but not on
20 screen, Alex Kursman, K-U-R-S-M-A-N,
21 who is also an assistant federal
22 defender. He is with the Community
23 Defender Office in the Eastern
24 District of Pennsylvania; and Kim
25 Stout, S-T-O-U-T, who is also an

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assistant federal public defender with
the Federal Public Defender in
District of Arizona.

5

6

MS. KOLODINSKY: This is Lynne
Kolodinsky. I recently changed my
name. It is K-O-L-O-D-I-N-S-K-Y.

8

9

MS. STILLWATER: Were you able
to hear me?

10

11

12

13

MR. MANSINGHANI: This is Mithun
Mansinghani for the Defendants from
the Oklahoma Office of the Attorney
General.

14

15

THE VIDEOGRAPHER: Will the
reporter please swear the witness.

16

17

COURT REPORTER: If you would
state your full name?

18

19

THE WITNESS: Joseph Francis
Antognini.

20

Whereupon,

21

22

23

DR. JOSEPH F. ANTOGNINI,
having been first duly sworn/affirmed,
was examined and testified as follows:

24

25

THE VIDEOGRAPHER: We may
proceed.

1 DR. J. ANTOGNINI

2 EXAMINATION BY

3 MR. STRONSKI:

4 Q. I am Jim Stronski. I introduced
5 myself before. Good morning,
6 Dr. Antognini.

7 A. Good morning.

8 Q. Are you aware of any study that
9 used midazolam to induce and maintain
10 general anesthesia in the face of surgical
11 stimuli?

12 A. I presume you are asking about
13 this in humans. Is that correct?

14 Q. Correct.

15 A. And when you -- could you repeat
16 the question? I want to make sure I
17 understood. Induce and maintain?

18 Q. Yes. I am interested in any
19 study that reliably used midazolam as the
20 only drug to induce and maintain general
21 anesthesia for purposes of surgery.

22 A. Well, surgery -- let me just
23 address the surgery part. Surgery --
24 there are different types of surgery,
25 different types of surgical stimuli and

1 DR. J. ANTOGNINI

2 noxious stimuli. Certainly midazolam has
3 been used as a sole drug to induce
4 anesthesia for a procedure, as I have
5 mentioned in my report.

6 (Reporter interruption.)

7 A. As I mentioned in my report,
8 there are -- I did cite studies where
9 midazolam has been used to induce
10 anesthesia in preparation for endotracheal
11 intubation, which is very stimulating.
12 And that, as I said, is in my report.

13 One of the challenges of using
14 midazolam to maintain anesthesia would be
15 that you need a very large dose to be able
16 to achieve that, so large that nobody has
17 actually attempted to do that for a
18 prolonged surgical procedure.

19 So, if we are talking about a
20 very short procedure, there are studies, I
21 think, that indicate that, that you could
22 use midazolam for painful procedures,
23 otherwise painful procedures. But for
24 prolonged procedures, no, there are no
25 studies in humans where midazolam has been

1 DR. J. ANTOGNINI
2 used alone for the purposes I mentioned
3 because of the massive dose that would
4 likely need to be administered.

5 Q. But in humans, to use your
6 words, such a massive dose has not been
7 studied clinically. Correct?

8 A. That is correct, to my
9 knowledge.

10 Q. So, you have no basis in
11 science, in data, to opine that midazolam
12 at any dose would maintain anesthesia.
13 Correct?

14 A. I do not have any -- again,
15 there are no data that I am aware of, any
16 published studies where midazolam has been
17 used by itself for a prolonged surgical
18 procedure. By that I mean for hours and
19 hours. So, that is correct. And that is
20 because the dose that would be required or
21 to even study that would be so large that
22 it wouldn't be ethically or clinically
23 worthwhile to pursue.

24 Q. So you rely upon the Gehrke
25 reference. You mentioned endotracheal

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1 DR. J. ANTOGNINI

2 intubation. That was your example. Am I
3 right in remembering that?

4 A. That particular study, you mean?

5 Q. Yes. I asked you if there are
6 studies where midazolam was used in humans
7 to induce and maintain anesthesia for
8 surgery, and the example I think you gave
9 me was endotracheal intubation. Is that
10 right?

11 A. That is correct. There is more
12 than the Gehrke study, though, that I have
13 cited.

14 Q. Are there any other
15 procedures -- how long does the
16 endotracheal intubation take? What is the
17 range of time it takes?

18 A. The actual intubation involves
19 what is called laryngoscopy, basically
20 where you actually take a metal tongue
21 blade, as I tell patients, and insert it
22 into the mouth, open up the airway. And
23 then you actually place the endotracheal
24 tube. All that might take on average a
25 minute, a minute and a half.

1 DR. J. ANTOGNINI

2 But it's not just the procedure
3 itself that is stimulating. You have that
4 large plastic tube into the trachea or the
5 windpipe, and it's there after you are
6 done, so it's still stimulating. In my
7 report, I talked about the Miyake study,
8 where they had given midazolam for
9 induction. They also gave other drugs.
10 Both drugs wore off very quickly and those
11 patients were basically lying there with
12 this endotracheal tube for about
13 60 minutes, and that's stimulating. The
14 authors, as I recall, talk about that.

15 So, it's not just the procedure
16 itself. It's actually the tube that is
17 there. Since we all know, have
18 experienced aspiration, where something
19 went down the wrong way and you have
20 coughed violently, well, that is what that
21 tube is like, and it's there basically
22 past the procedure itself. So, that also
23 is stimulating.

24 Q. How long does the tube stay
25 there?

1 DR. J. ANTOGNINI

2 A. It depends on the particular
3 procedure. It could be there for days
4 after surgery. We can leave the tube in
5 or it can come out after surgery,
6 30 minutes after, an hour, two hours.
7 Depends on the length of the surgery.

8 Q. So there is no cutting done with
9 the endotracheal intubation, right? That
10 is not the intent of it; correct?

11 A. No. There is no cutting done,
12 but it is certainly more stimulating in
13 terms of anesthetic requirements than a
14 skin incision.

15 Q. So, is it your testimony in
16 Gehrke and in the other Miyake reference
17 that you know that those patients were
18 receiving no other drugs?

19 A. Well, both the Miyake study and
20 the Gehrke study that I have cited -- so
21 the Gehrke study, there was a subgroup of
22 patients that did not receive other drugs,
23 at least according to their report. Some
24 of them did receive opiates. But the
25 conclusion was that midazolam was still a

1 DR. J. ANTOGNINI
2 good drug to use in the study, even the
3 study of midazolam by itself. If they
4 recognized midazolam by itself was not,
5 adequate, they would have mentioned that,
6 I would think, and they did not. The
7 other --

8 Q. Did they say it was adequate?

9 A. That is my recollection. We can
10 certainly pull up the reference, but that
11 is --

12 Q. We'll look at it.

13 A. That's my recollection of it.

14 As far as the Miyake study is
15 concerned, those patients received an
16 opiate called remifentanil with the
17 induction, and then the remifentanil was
18 discontinued right afterwards. And that
19 is a drug that -- its effects dissipate
20 very, very quickly. After five, ten
21 minutes or so or something like that,
22 maybe shorter, the effects are gone.

23 So, that's one of the reasons
24 why, if you look at how these patients
25 behaved in terms of their

1 DR. J. ANTOGNINI

2 electroencephalogram, it's pretty clear
3 that 20, 30 minutes out or more, the
4 remifentanil is gone and that basically
5 these patients are doing okay.

6 Also in the discussion section,
7 as I recall -- we will have to pull it up.
8 In the discussion section of the Miyake
9 study, they talked about essentially a
10 preliminary study, and I believe there
11 they did not use remifentanil. So
12 again -- and they got more or less the
13 same result. So, that leads me to believe
14 and opine that midazolam is sufficient to
15 anesthetize patients for the endotracheal
16 intubation and for the continued placement
17 or presence of that endotracheal tube.

18 Q. In these cases, you said that
19 the actual intubation takes a minute or
20 so. Did you say that? A minute, minute
21 and a half?

22 A. Yes. It can be shorter if it's
23 an easy airway and you are skilled, or it
24 can be a little longer. You know,
25 somewhere around there is my guess.

1 DR. J. ANTOGNINI

2 Q. Could be 30 seconds?

3 A. Could be 30 seconds, yes.

4 Q. Then it is just there for
5 however long and then it's removed at some
6 point. Is that fair?

7 A. That is correct, yes.

8 Q. And it could be there, you said,
9 for days?

10 A. If the patient, after surgery,
11 needs to be in an intensive care unit and
12 needs a respirator or ventilator, yes, it
13 could be there for days.

14 Q. So is it your testimony that
15 these patients in the Miyake and Gehrke
16 study had their tubes in for an extended
17 period of time without any other
18 medication but for midazolam?

19 A. Well, for the Miyake study that
20 is correct. They stopped the study
21 basically at 60 minutes. After that they
22 basically continued on with surgery and
23 they gave more anesthetic at that point.
24 I don't recall exactly what they used.

25 For the Gehrke study, I don't recall what

1 DR. J. ANTOGNINI

2 happened afterwards.

3 But in general, patients that
4 have endotracheal tube in place in the
5 intensive care unit, they require some
6 type of sedation or anesthesia or
7 something, some drug, because it is very
8 stimulating. Virtually all of the
9 hospitals that I am aware of have some
10 type of protocol to provide some types of
11 drugs, including midazolam. That's a very
12 common drug to be used as an infusion in
13 intensive care unit so that these patients
14 will tolerate that endotracheal tube. It
15 is pretty unusual for a patient to not
16 require some type of drug, medication such
17 as midazolam or something like that --
18 propofol is sometimes used -- to ensure
19 that they can tolerate that tube.

20 Q. Are you familiar with the
21 continuum of depth of sedation definitions
22 of general anesthesia and levels of
23 sedation, analgesia that have been
24 approved by the ASA Committee of
25 Delegates?

1 DR. J. ANTOGNINI
2 milliequivalent level, which is your
3 experience in administering potassium
4 chloride, the low range of that
5 experience, if you compare it to the
6 amount administered in the Ohio lethal
7 injection protocol, that amount is 24
8 times greater --

9 MR. MANSINGHANI: Object to
10 form -- sorry.

11 Q. Do you have any knowledge of any
12 studies that would characterize the nature
13 of the kind of pain that results
14 administering 24 times the amount of a
15 drug that is known to cause pain at
16 10 milligrams milliequivalents?

17 MR. MANSINGHANI: Object to
18 form.

19 A. There are no studies that I am
20 aware of that would quantify that. And my
21 experience with potassium chloride has
22 been in the operating room primarily.
23 Again, I have had patients in the recovery
24 room -- that is not the term we use
25 anymore but that is what most people will

1 DR. J. ANTOGNINI

2 recognize -- and then also in the ICU.

3 Most of my own personal
4 professional experience with potassium
5 chloride has been in the operating room.
6 Of course, you have to infuse it slowly
7 because if you give it too fast you can
8 have a cardiac arrest. That is the main
9 reason why it is infused slowly.

10 But in that setting, in my
11 experience, in anesthetized patients there
12 is no indication that the patients are
13 experiencing any type of response to it
14 because I don't recall there being
15 increases in the heart rate or blood
16 pressure or anything else when potassium
17 is being infused.

18 Q. How many times do you recall
19 infusing potassium during anesthesia?

20 A. I can't give you a number. It
21 is going to be maybe, you know, a dozen,
22 two dozen times maybe. I just don't --
23 you are talking about a 30-year career
24 more or less. I can't remember.

25 Q. So, it is not something

1 DR. J. ANTOGNINI

2 controlled.

3 Q. Similarly, the osmolarity of
4 injectable drugs is adjusted so that you
5 don't have a hyper or hypo osmolar
6 substance in the blood or in the veins.

7 Correct?

8 A. That is often done, yes. There
9 is adjustment of the osmolarity.

10 Q. And that is because it is well
11 understood that either can cause damage
12 and pain on injection. Correct?

13 MR. MANSINGHANI: Object to
14 form.

15 A. I don't know that people have
16 systematically studied the amount of pain
17 that occurs with alterations in
18 osmolarity. So, that is a little bit -- I
19 am not aware of anything -- that
20 information may exist. I just don't know
21 about it.

22 Q. Okay. But it is done because it
23 can damage tissue, whether or not that
24 causes -- the pain it causes we'll put
25 aside, but it damages the tissue.

1 DR. J. ANTOGNINI

2 Correct?

3 A. The experience over many years
4 is that veins can be damaged by drugs that
5 are going through them. So, often that is
6 damage that occurs over the course of a
7 long time, not just from one injection but
8 over the course of many hours to days.

9 So, it is also time dependent.

10 Q. And the potassium chloride also,
11 at 240 milliequivalents, will depolarize
12 the cells and trigger the nerve fibers in
13 the veins. Correct?

14 A. That is my understanding of that
15 area. I don't have any more specifics as
16 to what the mechanism would be.

17 Q. And that would be experienced as
18 severe pain unless you put the brain stem
19 to sleep and had a state of general
20 anesthesia. Correct?

21 MR. MANSINGHANI: Object to

22 form.

23 A. The presence of a drug, an
24 anesthetic drug or a drug that affects the
25 brain will reduce the experience of

1 DR. J. ANTOGNINI

2 somebody who's receiving potassium
3 chloride. And I have opined that
4 midazolam is such a drug, especially at
5 the dose used in the protocol, that it
6 would drastically alter any experience of
7 the usual pain that we think about that
8 would occur from potassium chloride.

9 Q. Is it your opinion that
10 midazolam puts the brain stem to sleep at
11 this dose?

12 MR. MANSINGHANI: Object to
13 form.

14 A. I don't really typically think
15 of using terminology "putting the brain
16 stem to sleep." Midazolam will affect
17 the -- have effects in the brain. It has
18 effects in the brain stem, it has effects
19 in the spinal cord. So, that drug,
20 midazolam, at that dose we are talking
21 about, 500 milligrams, would drastically
22 reduce the experience someone would have
23 when they are awake? The answer is yes.
24 It would be a combination of effects at
25 sites.

1 DR. J. ANTOGNINI

2 in these dosages, that midazolam and
3 diazepam are of similar potency?

4 A. In this setting -- let's see
5 here. Let me just look closely at these
6 figures and their data here.

7 I have no reason to basically
8 refute what they have reported. Is it
9 surprising? Again, I am not surprised in
10 the sense that, you know, sometimes you
11 get results that you sometimes don't think
12 you will. Are these data different from
13 what others have reported? Possibly. So,
14 I will just state that I guess.

15 Q. Let's go to the Miyake
16 reference, which you also relied upon and
17 mentioned, which is Exhibit 853.

18 (So marked for identification as
19 Exhibit 853.)

20 Q. If we go to the first page, is
21 this the Miyake reference that you
22 referenced earlier?

23 A. Yes, it is.

24 Q. What do you rely upon it for?

25 A. So, this study was performed to

1 DR. J. ANTOGNINI

2 look at effects of midazolam on the
3 electroencephalogram primarily. And they
4 were looking at dose response effects.
5 They gave .2 milligrams per kilogram in
6 one group and .3 milligrams per kilogram
7 in the other group.

8 The important thing that I
9 looked at here is that these patients were
10 given the midazolam, they were intubated
11 with muscle relaxant and also an opiate
12 that was very short lived. The
13 remifentanil drug wears off very quickly.

14 So, they were intubated and then
15 left basically on a ventilator over the
16 course of 60 minutes. And during that
17 time where basically all they had
18 lingering around, so to speak, was the
19 midazolam, the remifentanil has worn off
20 and the patients were paralyzed, to use
21 the term, a paralytic with vecuronium.
22 These authors reported no change in the
23 BIS basically over that 60-minute period.

24 Then in the discussion section,
25 the second-to-last paragraph, actually

1 DR. J. ANTOGNINI

2 starting on the left-hand side, which is
3 going to be page 392 of their paper, or
4 443 on the bottom of this exhibit, they
5 talk about a preliminary study and --

6 Q. Can I just stop you a second.

7 Where are you talking about the
8 preliminary study? It's on 39 --

9 A. 392, towards the bottom of the
10 left-hand column.

11 Q. Okay. Thank you.

12 A. So in this preliminary study
13 they basically did the same type of study,
14 although it only went out, it looks like,
15 to 20 minutes. They used the same doses
16 and found -- and this is without the
17 remifentanil -- and found that the BG
18 data, the BIS data, did not on average
19 change over the course of that period,
20 indicating again that the presence of the
21 stimulating tube and being on the
22 ventilator was not sufficient to push the
23 BIS number up from the low 60's. What that
24 indicates to me is these patients were not
25 waking up because the BIS number was

1 DR. J. ANTOGNINI

2 staying pretty stable there in the low
3 60's.

4 Again, the combination of the
5 earlier data or the data they reported in
6 the study going out to 60 minutes and then
7 the preliminary study that they report
8 here indicates that these patients were
9 not waking up from the fact that they were
10 paralyzed, and it was because they had
11 received that midazolam.

12 Q. I am looking for where the
13 description of the preliminary study
14 begins. I am sorry but I am not finding
15 it. Where would I look? I am on page
16 392.

17 A. So the paragraph -- scroll down
18 a little bit. Where it says, just like
19 any paper will say, "There are several
20 limitations to our study." So, you go
21 there and they go through some of the
22 limitations. And they want to talk about
23 the fact that they did use remifentanil
24 for the intubation period for these
25 patients. And they were looking at not so

1 DR. J. ANTOGNINI

2 much what was happening with the
3 intubation but what was going to be
4 happening afterwards in terms of the
5 patient's electroencephalogram and their
6 BIS level after that. So, they wanted to
7 let the remifentanil wear off in their
8 study, which is what they did.

9 But just to rule that out
10 altogether, that remifentanil was not a
11 factor in what they saw, they had a
12 preliminary study where they didn't use
13 remifentanil at all and did not see a
14 change basically -- once the
15 electroencephalogram was depressed by the
16 midazolam, it stayed depressed despite the
17 fact that these patients were on the
18 ventilator and with an endotracheal tube.

19 Q. Okay, I see where we are now.
20 So the preliminary study, if you go down
21 on that page, has an N equals 12, mean age
22 59 plus or minus 11 years. Is that the
23 preliminary data?

24 A. Yes, that is what they are
25 referring to. So then they also state

1 DR. J. ANTOGNINI

2 that "these data are comparable with those
3 reported here with remifentanil,
4 suggesting that the infusion of
5 remifentanil unlikely affected the EEG
6 data."

7 Q. Basically you have 96 plus or
8 minus 2; 64, et cetera. Those are the BIS
9 numbers. The plus or minus is some
10 deviation based on the 12. Is that
11 correct?

12 A. That's correct.

13 Q. What is SEF95?

14 A. That is another EEG measure.
15 That stands for spectral edge frequency
16 95. It's just another EEG measure.

17 Q. Now, the initial study involved
18 5,000 patients, right, the study that is
19 the subject of this paper? Is that
20 correct?

21 A. No, no. Not nearly 5,000
22 patients, no.

23 Q. It says, "A prospective cohort
24 study involving approximately 5,000
25 consecutive surgical patients revealed --"

1 DR. J. ANTOGNINI

2 oh, that is a different study.

3 So, there are 12 in the
4 preliminary study. How many subjects are
5 there in the study that is the subject of
6 this paper?

7 A. There were 12 subjects in the
8 group that received 0.2 milligrams per
9 kilogram of the midazolam and there were
10 12 subjects in the 0.3-milligram per
11 kilogram group.

12 Q. That also both received the
13 remifentanil?

14 A. Correct.

15 Q. How much fentanyl -- how much
16 midazolam did the preliminary group get?

17 A. They state it's 0.2 or
18 0.3 milligrams per kilogram. N equals 12,
19 but they don't specify how many of the 12
20 received 0.2 and how many received 0.3.

21 Q. What are the BIS numbers for the
22 groups that received remifentanil?

23 A. Those data -- go ahead.

24 Q. Is that in Table 1?

25 A. No. That is going to be in the

1 DR. J. ANTOGNINI

2 Figure 2. I don't know that they report
3 it anywhere else. Let me just look and
4 see.

5 So, it looks like the data are
6 only going to be in Figure 2. I don't
7 know that they have it anywhere else. But
8 on the top figure of Figure 2, which says
9 BIS, that's where you see the BIS numbers
10 essentially. You can see that they are in
11 the range of -- you know, in the 60's or
12 so. It is hard to tell from that graph
13 exactly where they are, but it is in the
14 same range as described in the preliminary
15 group that they studied.

16 But also, you don't really see
17 an increase in the BIS over time. It is
18 basically staying pretty flat. It goes
19 down from 96 and stays in the 60's
20 basically.

21 Q. So, in this study, were the
22 subjects subjected to any noxious stimuli
23 or consciousness check?

24 A. During the period when they had
25 received midazolam and had been intubated,

1 DR. J. ANTOGNINI
2 for GABA and there is only so much GABA.
3 And when you exhaust that,
4 mechanically, it will have no further
5 effect. Correct?

6 A. I am not sure I would
7 characterize that assessment because what
8 you are talking about basically is you are
9 extrapolating from what essentially are
10 some in vitro studies that look at this to
11 the clinical situation. And it's fraught
12 to extrapolate from in vitro studies to
13 this situation.

14 So, for example, in a typical
15 study if you were looking at a ceiling
16 effect in vitro, you would give huge
17 amounts of the drug over a broad
18 concentration range such that usually you
19 are giving what we would call log doses,
20 log, as in L-O-G. That is, you might be
21 giving one unit of the drug, again in
22 vitro, and then you do a study where you
23 give 10 units and then 100 units and then
24 1,000 units and so forth. And that is how
25 you can demonstrate an in vitro ceiling

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2 effect.

3 Now, clinically, in this
4 situation, they have gone from
5 .2 milligrams per kilogram to
6 .3 milligrams per kilogram, and that is
7 only a 50 percent increase basically from
8 .2 to .3. So, although the authors here
9 do bring up the possibility -- they don't
10 mention the ceiling effect herem but they
11 bring up this possibility of saturation.
12 You know, they haven't explored fully the
13 concentration ranges that you would need
14 to be able to make that kind of
15 determination.

16 Q. So the authors in this paper
17 that you are relying upon raise the issue
18 of midazolam having a ceiling effect. Is
19 that fair?

20 A. I don't know that they use that
21 term. They say saturation at the
22 benzodiazapine receptor site would account
23 for the small differences on EEG between
24 patients receiving midazolam .2 and .3.
25 Now, they say there were some differences.

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2 They were small. However, again, you
3 would not necessarily be able to detect
4 changes beyond that if you are just going
5 from .2 to .3 milligrams per kilogram.

6 Q. What is your basis in science or
7 your understanding of this mechanism to
8 opine, if you do, that midazolam, which
9 has this singular mechanism of action with
10 GABA and GABA receptors, that if, by
11 increasing the dose by 50 percent, you get
12 a small additional effect, that that is
13 not due to saturation? Do you have a
14 basis to believe that is not due to
15 saturation of the benzodiazapine receptor
16 sites?

17 A. Again, I would say that my basis
18 for that is simply that we are looking at
19 what essentially is a dose response
20 effect. That is, we are giving a dose of
21 a drug and we are looking at a response.
22 In this case it is the
23 electroencephalogram. That might be a
24 very shallow dose response so that, you
25 know, it is not flat, it is just very

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2 shallow and you are not going to see much
3 of a change over that concentration range.

4 Q. And then what is your basis --
5 do you accept that the benzodiazepines,
6 including midazolam, have this singular
7 mechanism of action; that is, the GABA,
8 that it requires GABA and GABA receptors
9 to be present?

10 A. Yes. That has been well
11 documented, so I do accept that as the
12 mechanism by which these drugs work.

13 Q. Okay. And at 0.2 to 0.3,
14 increase of 50 percent, which for a
15 100-kilogram person would be an increase
16 from 20 to 30 milligrams, they are
17 reporting a very small change. Correct?

18 A. Yes.

19 Q. And what is the change in BIS
20 that they are reporting?

21 A. I am not sure that they reported
22 a change in BIS. In their discussion
23 again they state that -- they said small
24 changes. I don't know -- or "small
25 differences." I don't know what they are

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2 referring to there. I am just reading
3 what they have written there. I have to
4 look at the paper more closely to figure
5 out what small differences are they
6 referring to.

7 Q. But if you look at the
8 conclusion, it says, "In conclusion, among
9 our patients the average BIS remained
10 greater than 60 with midazolam at 0.2 or
11 0.3 despite the rapid decrease in plasma
12 concentration."

13 So, there is no difference --
14 they are not -- there is no notable
15 difference between .2 and .3 milligrams
16 per kilogram in their conclusion.

17 Correct?

18 A. They do not identify any
19 differences between those two groups if --
20 sorry. Maybe I misunderstood your
21 question here.

22 Q. So, what is your basis to
23 believe that there would be a higher
24 ceiling if you -- well, let me withdraw
25 that.

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2 Do you have any basis to believe
3 that the failure to observe any notable
4 change in BIS when you increase the dose
5 from what would be the equivalent of
6 20 milligrams to 30 milligrams for a
7 100-kilogram person, that that -- do you
8 have any basis to believe that that is not
9 attributable to a saturation of the GABA
10 and GABA receptors?

11 A. Well, once again, I would say
12 that a change from .2 to .3 is just not a
13 large enough difference to be able to
14 detect what may be some subtle changes
15 that occur, so that to achieve more of an
16 effect, more drug would have to be given.
17 If they had given a much larger dose of
18 the drug, then that would provide maybe
19 some more evidence that there is not much
20 of an effect as you increase the dose.

21 Just going from .2 to .3 in my
22 mind is not sufficient to state that
23 absolutely there is no change between .2
24 and .3. You need a broader dosage range
25 in my opinion.

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2 Q. You may have misunderstood my
3 question but you didn't respond to it.

4 My question was, do you have a
5 reason to believe that the observation
6 that there was no notable change in BIS
7 values when you increased the dose from
8 0.2 to 0.3 milligrams per kilogram, or 20
9 to 30 milligrams per kilogram -- 20 to
10 30 milligrams in a 100-kilogram person.
11 Do you have any reason to believe that
12 that is not due to saturation of the GABA
13 and GABA receptors?

14 MR. MANSINGHANI: Object to
15 form.

16 A. I'd have to think about that.
17 Is there any other reason that -- again, I
18 would say the reason is that it has not --
19 it is not a sufficient dose difference to
20 be able to rule out basically a subtle
21 effect. So, I don't know how else to
22 answer your question.

23 Q. Well, the authors of this paper
24 opine that saturation at the
25 benzodiazapine receptor would account for

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2 why I am going to argue with you about
3 this. I don't know the origin of all this
4 data, but all I know is there are case
5 reports of people who received midazolam
6 by itself and were left unattended and not
7 monitored and they died. So, there may
8 not be a dose listed in this table, but
9 there have been doses of just a few
10 milligrams or 5 milligrams or maybe
11 10 milligrams that have killed human
12 beings. So, there are doses that kill
13 patients.

14 Q. And what is the mechanism of
15 death in those cases if you know?

16 A. It's going to be a combination
17 of unconsciousness, airway obstruction and
18 decreased breathing, respiratory arrest --

19 Q. Vomiting --

20 A. That's the usual mechanism.

21 Q. Are you aware that midazolam is
22 understood to be variable in its effect
23 based on the genetic makeup of the
24 patient?

25 MR. MANSINGHANI: Object to

1 DR. J. ANTOGNINI

2 form.

3 A. There are pharmacokinetic
4 differences basically among individuals
5 for a variety of different drugs, and that
6 holds true for a drug like midazolam as
7 far as I am aware. But as you get into
8 larger and larger doses, you begin to
9 collapse, so to speak, that difference.
10 That is, you still achieve the same end
11 point; you just have to give more drugs to
12 some of these individuals.

13 Q. But how is the difference
14 explained if you know? Is it based on the
15 identity and the amount of the GABA
16 receptors and the GABA in those
17 individuals as a result of the genetic
18 makeup, or is there a different mechanism
19 that explains the variability, Doctor?

20 MR. MANSINGHANI: Object to
21 form.

22 A. I don't know the answer to that
23 question. I know that there are genetic
24 differences. I don't know whether it is
25 related to the makeup of the GABA receptor

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2 or something else.

3 Q. But if it is related to the
4 makeup of the GABA receptor or the amount
5 of GABA, individuals may also have
6 different ceilings on the effect of
7 midazolam. Correct?

8 A. I don't know that you can make
9 that extrapolation. So, I am sorry, I am
10 not going to agree with that.

11 Q. You don't know one way or the
12 other?

13 A. I do not.

14 Q. Let's go to the Glass reference,
15 please -- oh, let's go to your report,
16 Doctor, at page 7 -- paragraph 7, rather.
17 There is a graph here.

18 A. Yes.

19 Q. You know the graph I am talking
20 about that graphs anesthetic concentration
21 versus percent of patients responding? Do
22 you remember that?

23 A. Yes, I do.

24 MR. MANSINGHANI: Pilar, we can
25 see your screen along with the live

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1 DR. J. ANTOGNINI
2 of midazolam for the appendectomy, but I
3 wouldn't need to use a lot of vecuronium.

4 MR. STRONSKI: Thank you, sir.

5 I think my time is up.

6 THE WITNESS: Thank you.

7 MR. MANSINGHANI: I do not have
8 any direct.

9 THE VIDEOGRAPHER: We are off
10 the record at 7:55 p.m. Eastern Time.
11 This concludes today's testimony given
12 by Dr. Joseph F. Antognini. The total
13 number of media units used was 7 and
14 will be retained by Winter Reporting,
15 a Veritext company.

16 [TIME NOTED: 7:56 p.m.]

17

18 -----

19 DR. JOSEPH ANTOGNINI

20

21 SUBSCRIBED AND SWORN TO
22 BEFORE ME THIS _____ DAY
23 OF _____, 2021.

24 -----

25 NOTARY PUBLIC

1

2 | CERTIFICATION

3

4

5 I, DEBRA STEVENS, a Notary Public for
6 and within the State of New York, do
7 hereby certify:

8 That the witness whose testimony as
9 herein set forth, was duly sworn by me;
10 and that the within transcript is a true
11 record of the remote testimony given by
12 said witness.

I further certify that I am not related to any of the parties to this action by blood or marriage, and that I am in no way interested in the outcome of this matter.

18 IN WITNESS WHEREOF, I have hereunto
19 set my hand this 9th day of February,
20 2021.

21

22

Debie Stees

23

24

25

DEBRA STEVENS, RPR-CRR

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1

2 WITNESS ERRATA SHEET

3

CASE NAME: Glossip v. Chandler
DATE OF DEPOSITION: January 28, 2021
WITNESS NAME: DR. JOSEPH ANTOGNINI

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PAGE/LINE(S) / CHANGE REASON

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DR. JOSEPH ANTOGNINI

21

SUBSCRIBED AND SWORN TO

22

BEFORE ME THIS _____ DAY

23

OF _____, 2021.

24

NOTARY PUBLIC

25

MY COMMISSION EXPIRES _____

Federal Rules of Civil Procedure

Rule 30

(e) Review By the Witness; Changes.

(1) Review; Statement of Changes. On request by the deponent or a party before the deposition is completed, the deponent must be allowed 30 days after being notified by the officer that the transcript or recording is available in which:

(A) to review the transcript or recording; and

(B) if there are changes in form or substance, to sign a statement listing the changes and the reasons for making them.

(2) Changes Indicated in the Officer's Certificate. The officer must note in the certificate prescribed by Rule 30(f)(1) whether a review was requested and, if so, must attach any changes the deponent makes during the 30-day period.

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ARE PROVIDED FOR INFORMATIONAL PURPOSES ONLY.

THE ABOVE RULES ARE CURRENT AS OF APRIL 1,

2019. PLEASE REFER TO THE APPLICABLE FEDERAL RULES
OF CIVIL PROCEDURE FOR UP-TO-DATE INFORMATION.

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